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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/540,265	06/23/2005	Yoshihide Nagafuchi	274401US0PCT	1435
OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314		EXAMINER		
		HARLAN, ROBERT D		
			ART UNIT	PAPER NUMBER
			1796	
			NOTIFICATION DATE	DELIVERY MODE
			09/22/2008	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

	Application No.	Applicant(s)				
Office Action Comments	10/540,265	NAGAFUCHI ET AL.				
Office Action Summary	Examiner	Art Unit				
	Robert D. Harlan	1796				
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPL' WHICHEVER IS LONGER, FROM THE MAILING D.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1)⊠ Responsive to communication(s) filed on <u>09 Ju</u>	ine 2008					
	action is non-final.					
<i>i</i>	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
•	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4)⊠ Claim(s) <u>1-20</u> is/are pending in the application	4) \(\sigma\) Claim(s) 1-20 is/are pending in the application					
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-20</u> is/are rejected.	·					
7) Claim(s) is/are objected to.						
	8) Claim(s) are subject to restriction and/or election requirement.					
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)⊠ All b)□ Some * c)□ None of:						
<ol> <li>Certified copies of the priority document</li> </ol>	<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>					
<ol><li>Certified copies of the priority document</li></ol>						
3. Copies of the certified copies of the prio	3. Copies of the certified copies of the priority documents have been received in this National Stage					
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  Notice of Informal Patent Application						
Paper No(s)/Mail Date 6) Other:						

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## DETAILED ACTION

1. The Amendment filed by Applicant on 06/09/08 has been entered.

## Response to Amendment/Arguments

- 2. Applicant's amendment and arguments filed on 06/09/08 have been fully considered and they are found persuasive.
- 3. The claim object is withdrawn.

## Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1-20 are rejected under 35 U.S.C. 102(b) as being anticipated by Holy et al., EP 0 552 876 (hereinafter "Holly"). Holly teaches a vinyl-based polymer having a Mw of less than 10,000 and a process for preparing such polymer wherein the

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process occurs in a continuous reactor at temperatures, process times and rates of the claimed invention. See Holly, Abstract; page 3, line 38 through page 6, line 13. Holly further teaches post-polymerization or second step whereby an initiator is introduced further polymerizing monomers at temperatures, reaction times and conversion rates within the ranges provided in the claimed invention. See Holly, page 6, line 38 through page 7, line 26. Holly further teaches the use of a broad hosts of monomers to prepare the vinyl based polymer. See Holly, page 4, line 30 through page 5, line 18. The Examiner contends that Holly anticipates the present claims by teaching the vinyl-based polymer and processes of the claimed invention.

6. The present claims are "product by process" and are interpreted as product claims, not process claims. Quoting precedent cases, In re Thorpe states,

If the product in a product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process. See <u>In re Thorpe</u>, 227 USPQ 964, 966 (Fed. Cir. 1985).

The Examiner contends that the vinyl-based polymer of the prior art Holly containing a mass average molecular weight having a Mw of less than 10,000 is substantially identical to the claimed

vinyl based polymer with a mass average molecular weight of 1500 to 100,000.

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- 7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Robert D. Harlan whose telephone number is (571) 272-1102. The examiner can normally be reached on Mon-Thu, 10 AM 8 PM.
- 8. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David W. Wu can be reached on (571) 273-1114. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
- 9. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

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like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Robert D. Harlan/ Primary Examiner Art Unit 1796

rdh